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Assets (\$USD)	\$ 51,463,719	31-Dec-22	Currency	USD
Type:	Gold & PM		Domicile:	Luxembourg
Structure:	SICAV (société d'investissement à capital variable)		Inception_Date:	10-Aug-12
Performance Fee	none			
Benchmark	Philadelphia Stock Exchange Gold & Silver Index			

Investment Philosophy and Objective

The Falcon Gold Equity UCITS Fund invests worldwide mainly in stocks issued by companies engaged primarily in the extraction, processing and marketing of gold. In companies engaged in extracting, processing or marketing other precious metals respectively precious stones or non-ferrous metals, the fund may invest up to 25% of its assets. The net earnings of the investment fund are distributed in February of each year.

Portfolio Manager **Mr. John Clemens Hathaway** CFA® MBA BA jhathaway@sprottusa.com



John Hathaway joined Sprott Asset Management in January 2020. Mr. Hathaway is a Portfolio Manager of Sprott Hathaway Special Situations Strategy and Co-Portfolio Manager of the Sprott Gold Equity Fund. Previously, Mr. Hathaway joined Tocqueville Asset Management L.P. in 1997 where he was a Co-Portfolio Manager of the Tocqueville Gold Fund as well as other investment vehicles in the Tocqueville Gold Equity Strategy. He was also the Portfolio Manager of private funds.

Prior to joining Tocqueville, Mr. Hathaway co-founded and managed Hudson Capital Advisors followed by seven years with Oak Hall Advisors as the Chief Investment Officer in 1986. In 1976, he joined the investment advisory firm David J. Greene and Company, where he became a Partner. Mr. Hathaway began his career in 1970 as an Equity Analyst with Spencer Trask & Co.

Start Date
01-Jan-20

John earned a B.A. from Harvard College and an MBA from the University of Virginia and he also holds the CFA® designation.

<https://sprott.com/our-firm/sprott-gold-team/john-hathaway/>

 www.linkedin.com/in/hathawayjohn

- CFA® Chartered Financial Analyst CFA® Designation
- MBA Masters of Business Administration
- BA Bachelor of Arts

Portfolio Manager **Mr. Douglas B. Groh** MA BSc groh@sprottusa.com



Douglas Groh joined Sprott Asset Management in January 2020. He serves as a Co-Portfolio Manager of the Sprott Gold Equity Fund as well as other investment vehicles in the Sprott Gold Equity Strategy. Previously, Mr. Groh was a Portfolio Manager at Tocqueville Asset Management L.P. Prior to joining Tocqueville, he was Director of Investment Research at Grove Capital from 2001-2003. From 1990-2001, Mr. Groh held investment research and banking positions at J.P. Morgan, Merrill Lynch, and ING Bank. During the late 1980s, Mr. Groh served as a portfolio manager of gold mining equity funds for U.S. Global Investors and IDS Financial Services, after beginning his career as a mining and precious metals analyst in 1985 at U.S. Global Investors.

Start Date
01-Jan-20

Doug earned a B.S. in Geology and Geophysics from the University of Wisconsin-Madison and an M.A. from the University of Texas at Austin, where he focused on mineral economics.

<https://sprott.com/our-firm/sprott-gold-team/douglas-groh/>

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- MA Masters of Arts
- BSc Bachelor of Science

Documentation

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