Sprott-Alpina Gold Equity Fund

MineralFunds.com

not recorded

Assets ($USD)	**$ 50,785,089**	30-Jun-22	Currency	USD	
Type:	Gold & PM		Domicile:	Switzerland	
Structure:	FCP (fonds communs de placement)		Inception_Date:	**18-Jun-92**	
Performance Fee	none				
Benchmark	Philadelphia Stock Exchange Gold & Silver Index				

Investment Philosophy and Objective

The Fund invests worldwide mainly in stocks issued by companies engaged primarily in the extraction, processsing and marketing of gold. The fund may invest up to 25% of its assets in companies engaged in extracting, processing or marketing other precious metals, precious stones or non-ferrous metals.

Der Falcon Gold Equity Fund investiert weltweit in Aktien von Unternehmen, die vorwiegend in der Gewinnung, Verarbeitung und Vermarktung von Gold tätig sind. Bis zu 25% des Fondsvermögens können in Gesellschaften angelegt werden, die andere Edelmetalle, Edelsteine oder Buntmetalle gewinnen, verarbeiten oder vermarkten. Der Reinertrag des Anlagefonds wird jährlich, falls der Ertrag hoch genug ist, im Februar ausgeschüttet.

Portfolio Manager **Mr. John Clemens Hathaway** CFA ® MBA BA jhathaway@sprottusa.com



Start Date
01-Jan-20

John Hathaway, CFA, is Chairman of Tocqueville Management Corporation, the general partner of Tocqueville Management L.P. Mr. Hathaway joined Tocqueville in 1997. He co-manages the Tocqueville Gold Fund (TGLDX). In addition, he manages separate accounts with a gold equity mandate including the Falcon Gold Fund, the Falcon Gold UCITS Fund, Tocqueville Gold Amerique (FCP), a sovereign wealth fund, and various separate accounts for family offices and government entities.

Prior to joining Tocqueville, Mr. Hathaway co-founded and managed Hudson Capital Advisors followed by seven years with Oak Hall Advisors as the Chief Investment Officer from 1986. In 1976, he joined the investment advisory firm David J. Greene and Company, where he became a Partner. Mr. Hathaway began his investment career in 1970 as a research analyst with Spencer Trask & Co..

Mr. Hathaway graduated from Harvard College in 1963 (B.A.) and from the University of Virginia Business School in 1967 (M.B.A). He also holds the CFA designation.

www.tocquevillefunds.com/mutual-funds/tocqueville-gold-fund

www.linkedin.com/in/hathawayjohn

CFA ®	Chartered Financial Analyst CFA ® Designation
MBA	Masters of Business Administration
BA	Bachelor of Arts

Portfolio Manager **Mr. Douglas B. Groh** MA BSc groh@sprottusa.com



Start Date
01-Jan-20

Douglas B. Groh is a Portfolio Manager and Senior Research Analyst at Tocqueville Asset Management L.P. He joined Tocqueville in 2003, where he is a Portfolio Manager of the Tocqueville Gold Fund. Prior to joining Tocqueville, Mr. Groh was Director of Investment Research at Grove Capital from 2001 to 2003 and from 1990 to 2001 held investment research and banking positions at J.P. Morgan, Merrill Lynch and ING Bank.

Mr. Groh began his career as a mining and precious metals analyst in 1985 at U.S. Global Investors. Mr. Groh earned a B.S. in Geology and Geophysics from the University of Wisconsin-Madison and an M.A. from the University of Texas at Austin, where he focused on mineral economics.

http://tocqueville.com/mutual-funds/tocqueville-gold-fund

www.linkedin.com/in/doug-groh-3316365/

MA	Masters of Arts
BSc	Bachelor of Science

Institutional Sales	**Herr. Marcel Weiss**	CIIA ® MSc BBA	not recorded
Institutional Sales	**Herr. Ferdinand Buholzer**	FRM ® BBA	not recorded